

ACCOUNTING AND FINANCIAL REPORTING COUNCIL

PERSONAL DETAILS FORM FOR A NON-CPA (PRACTISING) APPLYING FOR REGISTRATION AS AN ENGAGEMENT QUALITY CONTROL REVIEWER (“EQCR”) (FORM PIE-EQCR)

IMPORTANT:

Personal Data (Privacy) Ordinance: The information requested in this application form may include personal data as defined in the Personal Data (Privacy) Ordinance (Cap. 486). Please refer to the [“Personal Information Collection Statement”](#) which sets out the policies and practices of the Accounting and Financial Reporting Council (“AFRC”) with regard to any personal data provided.

The requirements and procedures for the application for registration as an EQCR are set out in the [“Guide for the Registration of PIE Auditors”](#) (“Guide”). Please read the Guide before completing this application form.

NOTE:

1. The registered EQCR of a registered public interest entity (“PIE”) auditor must be a member of the Hong Kong Institute of Certified Public Accountants (“HKICPA”) or one of the accountancy bodies between which and the HKICPA there is in force a recognition agreement.
2. Certification of documents – All documents in relation to the application to be submitted to the AFRC should be originals or certified true copies of the originals certified by any of the following persons:
 - (a) A certified public accountant of HKICPA. Full name with HKICPA membership no. and contact details should be provided for future communication.
 - (b) A legal practitioner. Full name with contact details should be provided for future communication.
 - (c) Government District Officer (through statutory declaration)

SUBMISSION OR ENQUIRIES:

The completed Personal Details Form should be sent with all supporting documents by post to the AFRC:

Policy, Registration and Oversight Department
Accounting and Financial Reporting Council
10/F, Two Taikoo Place
979 King’s Road, Quarry Bay
Hong Kong

For any enquiry, please contact the AFRC at +852 3586 7800 or e-mail registration@afrc.org.hk.

Name of practice unit: _____

Practice unit registration no.: _____

Personal information:

Full name of the nominated
EQCR in BLOCK letters: _____

Chinese name, if any: _____

(Please ✓ the appropriate box and enclose a CERTIFIED copy of your identity document.)

Hong Kong identity card no.: _____

China identity card no.: _____

Passport no.: _____

Place of issue: _____

(Country/city name)

Date of birth: _____
(dd/mm/yyyy)

Email address: _____

Phone no.: _____

*(Please provide the country
code and area code.)*

Correspondence address: _____

Professional qualification:

Name of the accountancy body
of which you currently are a
member of: _____

(Please enclose a CERTIFIED copy of your membership certificate and your current membership status.)

HKICPA membership no., if any: _____

Declaration and undertaking:

(Please ✓ the appropriate boxes)

- I declare that the above information is true and complete to the best of my knowledge and belief.
- I confirm that all documents provided are true and correct copies of the original, true documents. I understand that providing false documents is a violation of both criminal and civil law, for which I will be held responsible.
- I authorize the AFRC to obtain my personal data from:
- third parties including the Hong Kong Institute of Certified Public Accountants ("HKICPA") for verification and registration purposes, and I hereby consent to the transfer of such information by such third parties to the AFRC for the said purposes; #1 and
 - (where I am a certified public accountant registered with the HKICPA) the HKICPA reasonably required for the purpose of the performance by the AFRC of its functions under the Accounting and Financial Reporting Council Ordinance (Cap. 588) and under any relevant laws and regulations, and I hereby consent to the transfer of such personal data by the HKICPA to the AFRC for the said purpose. #2
- I undertake to notify the AFRC of any future change of the above information, in particular, my contact details as soon as practicable.

Signature of nominated EQCR

Date
(dd/mm/yyyy)

#1 The AFRC may verify any of the information provided by the applicant in the application, whether before a decision on the application is made or after the approval of the application.

#2 From 1 October 2022, the AFRC has assumed regulatory functions which include the issuance of practising certificates to CPAs, registration and inspection of practice units, and investigation and discipline of all CPAs and practice units. Your consent to the transfer of such personal data as is reasonably required will facilitate the performance of such regulatory functions.